

Membership Application Form - Page 1 of 2

Member Firm Details			
Full Legal Name			
Registration No.			
Postal address			
Physical Address			
Website			
Telephone			
Group affiliation (<i>if any – name group</i>)			
Number of professional staff		Number of full time staff	
<i>Provide organizational structure</i>			
Representative(s) Details (<i>provide brief résumé for each representative</i>)			
Main Representative ¹		Alternate ²	
Designation		Designation	
E-mail		E-mail	
Mobile		Mobile	
Directors ³ (<i>provide brief résumé for each director</i>)			
Name		Email Address	
Asset Management Details			
Size of Assets Under Management (<i>as of date of this application</i>) in Ksh.			
Type of Client(s)	% of AUM	Location of Client(s)	% of AUM
▪ Pension schemes (Segregated)		▪ Kenya	
▪ Umbrella/pooled pension schemes		▪ East Africa ⁴	
▪ Other Institutional (Segregated)		▪ Rest of Africa ⁵	
▪ Individual/Retail (Segregated)		▪ Overseas ⁶	
▪ Collective Investment Schemes			
License(s) ⁷ in Kenya			

¹ Individual who represents the firm during FMA meetings and events, who must be the CEO unless otherwise approved by the FMA

² Represents the firm at FMA meetings and events in the absence of the Main Representative; must be a senior executive of the firm

³ Provide separate listing if space is insufficient

⁴ Uganda, Tanzania, Rwanda, Burundi, South Sudan

⁵ Excluding Kenya and East Africa

⁶ Excluding Africa

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▪ Investment Banker	▪ Securities Dealer	▪ Investment Adviser
▪ Stock broker	▪ Fund Manager	▪ REIT Manager
▪ Retirement Benefits Authority	▪ Other (specify)	
In what other countries (<i>excluding Kenya</i>) are you licensed?		

Reason(s) for wanting to join the Fund Managers Association

Declaration

We confirm that:

- We understand and acknowledge that our membership to the FMA8 is subject to the approval of the FMA Council.
- We have read and understood the FMA Rules and Code of Conduct.
- Upon membership we agree to be bound by the FMA memorandum and articles of associations and we shall adhere to the FMA Rules and Code of Conduct.
- We undertake to pay membership fees of **Kes.16,250.00 per month**⁹ which shall be paid after membership approval. Fees may be subject to change, are billed in advance and payable semi - annually
- We have attached the following documents:
 - ✓ Organization Structure
 - ✓ Representatives' short résumés
 - ✓ Directors' short résumés
- Information provided herein is correct as of this date.....

Signed on behalf of the firm:

Name: _____

Signature: _____

Designation: _____

Date: _____

Email to: pauline@b-moreconsult.com

For official use only:

Membership Approval:	▪ Accepted	▪ Rejected
Reason(s) (<i>if rejected</i>)		
Signed (<i>FMA Secretariat</i>):		Date:
Signed (<i>FMA Chairman</i>):		Date:

⁷ Specific to asset management

⁸ Fund Managers Association

⁹ Current